

08/31/01

J1040 U.S. PTO

09-04-01

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PTO/SB/05 (11-00)  
Approved for use through 10/31/2002 OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

A01072

First Inventor

Jane Elizabeth Weier

Title

Multiple Polymeric Additive Systems: . . . .

Express Mail Label No.

EF150160776US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **69**]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ☐]
5. Oath or Declaration [Total Pages ☐]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part(CIP)

Prior application information:

Examiner \_\_\_\_\_

of prior application No.: \_\_\_\_\_

Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19 CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label

(Insert Customer Number or Bar Code label here)

or



Correspondence address below

Name

Jeffrey H. Rosedale, Ph.D., J.D.

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Jeffrey H. Rosedale, Ph.D., J.D.

Registration No. (Attorney/Agent)

46,018

Signature

Jeffrey H. Rosedale

Date

8/31/01

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J1040 U.S. PTO  
09/943690  
08/31/01

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

## Complete if Known

|                      |                      |
|----------------------|----------------------|
| Application Number   |                      |
| Filing Date          | Herewith             |
| First Named Inventor | Jane Elizabeth Weier |
| Examiner Name        | Not Yet Assigned     |
| Group Art Unit       | Not Yet Assigned     |
| Attorney Docket No.  | A01072               |

TOTAL AMOUNT OF PAYMENT (\$ ) 790

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to
- Deposit Account Number **18-1850**
- Deposit Account Name **Rohm and Haas Company**
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity Code (\$) | Small Entity Code (\$) | Fee Description               | Fee Paid |
|------------------------|------------------------|-------------------------------|----------|
| 101                    | 710                    | 201 355 Utility filing fee    | 710      |
| 106                    | 320                    | 206 160 Design filing fee     |          |
| 107                    | 490                    | 207 245 Plant filing fee      |          |
| 108                    | 710                    | 208 355 Reissue filing fee    |          |
| 114                    | 150                    | 214 75 Provisional filing fee |          |

SUBTOTAL(1) (\$ ) 710

#### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 20                 | -20** = 0    | 18             | 0        |
| 4                  | -3** = 1     | 80             | 80       |
| Multiple Dependent |              | 0              | 0        |

Large Entity Small Entity  
Fee Fee Fee Fee  
Code (\$ ) Code (\$ )

| Large Entity Code (\$) | Small Entity Code (\$) | Fee Description   |
|------------------------|------------------------|---|
| 103                    | 18                     | 203 9 Claims in excess of 20                                    |
| 102                    | 80                     | 202 40 Independent claims in excess of 3                        |
| 104                    | 270                    | 204 135 Multiple dependent claim, if not paid                   |
| 109                    | 80                     | 209 40 **Reissue independent claims over original patent        |
| 110                    | 18                     | 210 9 **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ ) 80

\*\*or number previously paid, if greater. For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity Code (\$) | Small Entity Code (\$) | Fee Description   | Fee Paid |
|------------------------|------------------------|---|----------|
| 105                    | 130                    | 205 65 Surcharge - late filing fee or oath  |          |
| 127                    | 50                     | 227 25 Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                    | 130                    | 139 130 Non-English specification   |          |
| 147                    | 2,520                  | 147 2,520 For filing a request for ex parte reexamination                         |          |
| 112                    | 920*                   | 112 920* Requesting publication of SIR prior to Examiner action                   |          |
| 113                    | 1,840*                 | 113 1,840* Requesting publication of SIR after Examiner action                    |          |
| 115                    | 110                    | 215 55 Extension for reply within first month                                     |          |
| 116                    | 390                    | 216 195 Extension for reply within second month                                   |          |
| 117                    | 890                    | 217 445 Extension for reply within third month                                    |          |
| 118                    | 1,390                  | 218 695 Extension for reply within fourth month                                   |          |
| 128                    | 1,890                  | 228 945 Extension for reply within fifth month                                    |          |
| 119                    | 310                    | 219 155 Notice of Appeal  |          |
| 120                    | 310                    | 220 155 Filing a brief in support of an appeal                                    |          |
| 121                    | 270                    | 221 135 Request for oral hearing  |          |
| 138                    | 1,510                  | 138 1,510 Petition to institute a public use proceeding                           |          |
| 140                    | 110                    | 240 55 Petition to revive - unavoidable   |          |
| 141                    | 1,240                  | 241 620 Petition to revive - unintentional  |          |
| 142                    | 1,240                  | 242 620 Utility issue fee (or reissue)  |          |
| 143                    | 440                    | 243 220 Design issue fee  |          |
| 144                    | 600                    | 244 300 Plant issue fee   |          |
| 122                    | 130                    | 122 130 Petitions to the Commissioner   |          |
| 123                    | 50                     | 123 50 Processing fee under 37 CFR 1.17(g)  |          |
| 126                    | 180                    | 126 180 Submission of Information Disclosure Stmt                                 |          |
| 581                    | 40                     | 581 40 Recording each patent assignment per property (times number of properties) |          |
| 146                    | 710                    | 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))             |          |
| 149                    | 710                    | 249 355 For each additional invention to be examined (37 CFR § 1.129(b))          |          |
| 179                    | 710                    | 279 355 Request for Continued Examination (RCE)                                   |          |
| 169                    | 900                    | 169 900 Request for expedited examination of a design application                 |          |

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0

### SUBMITTED BY

|                   |                                  |                                  |         |           |              |
|-------------------|----------------------------------|----------------------------------|---------|-----------|--------------|
| Name (Print/Type) | Jeffrey H. Rosedale, Ph.D., J.D. | Registration No (Attorney/Agent) | 46,018  | Telephone | 215-785-8300 |
| Signature         | <i>Jeffrey H. Rosedale</i>       | Date                             | 8/31/01 |           |              |

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. A01072  
JHR/ams

In re application of:

Jane Elizabeth Weier, et al. :

Serial No: Not Yet Assigned : Group Art Unit: Not Yet Assigned

Filed: Herewith : Examiner: Not Yet Assigned

For: Multiple Polymeric Additive Systems:  
Compositions, Processes, and Products Thereof

Assistant Commissioner for Patents  
Washington, DC 20231

**CERTIFICATE OF EXPRESS MAILING**

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as Express Mail with the U.S. Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on the date indicated next to my signature below:

Utility Patent Application Transmittal  
Nonprovisional Patent Application  
Fee Transmittal (in duplicate)  
Preliminary Amendment  
  
Return Receipt Postcard

August 31, 2001  
Date

Becky Knauth  
Signature

U.S. Post Office Express Mail Label #EF150160776US